

Commission Minutes  
March 14, 2017



# IOWA TRANSPORTATION COMMISSION

## Meeting Agenda / Commission Orders

March 14, 2017  
Materials Conference Room  
Ames DOT Complex  
1:30 p.m.

ITEM NUMBER	TITLE	SUBMITTED BY	PAGE
D-2017-53	*Approve Minutes of the February 14, 2017 Commission Meeting	Danielle Griggs	3
1:30 p.m.	Commission Comments  DOT Comments		
IT-2017-54	*Administrative Rules: - Chapter 4: Public Records and Fair Information Practices	Annette Dunn	5
MV-2017-55	* Administrative Rules: - Chapter 615: Sanctions	Melissa Spiegel	7
PPM-2017-56	* Revitalize Iowa's Sound Economy (RISE) – Davenport	Craig Markley	9
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PPM-2017-59	* Revitalize Iowa's Sound Economy (RISE) Project Settlement – Burlington	Craig Markley	21
PPM-2017-60	* Statewide Transportation Alternatives Program Funding Recommendations	Craig Markley	25
PPM-2017-61	* Federal Recreational Trails Program Funding Recommendations	Craig Markley	27
PPM-2017-62	* Iowa's Clean Air Attainment Program Funding Recommendations	Deb Arp	29
PPM-2017-63	* Commercial and Industrial Network Modification	Phil Mescher	31
1:45 p.m.	Adjourn		

\*Action Item

On Tuesday, March 14, the Commission and staff will meet informally at 9:30 a.m. in the Materials Conference Room at the DOT complex in Ames. Transportation-related matters will be discussed but no action will be taken.

DEPARTMENT OF TRANSPORTATION  
COMMISSION ORDER

Division/Bureau/Office Director's Office Order No. D-2017-53  
Submitted by Danielle Griggs Phone No. 515-239-1919 Meeting Date March 14, 2017  
Title Approve Minutes of the February 14, 2017 Commission Meeting

**DISCUSSION/BACKGROUND:**

**PROPOSAL/ACTION RECOMMENDATION:**

It is recommended the Commission approve the minutes of the February 14, 2017 Commission meeting.

**COMMISSION ACTION:**

Moved by Loree Miles Seconded by Leonard Boswell

	Aye	Vote Nay	Pass
Boswell	<u>x</u>	<u>_____</u>	<u>_____</u>
Fehrman	<u>x</u>	<u>_____</u>	<u>_____</u>
Huber	<u>x</u>	<u>_____</u>	<u>_____</u>
Miles	<u>x</u>	<u>_____</u>	<u>_____</u>
Putney	<u>x</u>	<u>_____</u>	<u>_____</u>
Rose	<u>x</u>	<u>_____</u>	<u>_____</u>
Yanney	<u>x</u>	<u>_____</u>	<u>_____</u>

\_\_\_\_\_  
Division Director                      Legal                      State Director

D-2017-53

Commissioner Miles made a motion to approve the minutes as written. Commissioner Boswell seconded the motion. The motion passed unanimously.

#### Commission Comments

Commissioner Putney asked if staff could find out how much money we have saved given the mild winter we have had; where does that money get diverted if under budget.

Director Mark Lowe said that we cannot give a specific figure because we are not in the clear yet. But you will see as we've talk about our presentations before that the variance that we have that goes back into the Road Use Tax Fund and then gets reprogrammed in future years. There are other areas of savings operationally that have that same effect.

#### DOT Comments

Director Mark Lowe said that we had a very lengthy and in-depth session this morning, I appreciate the work the Commission did with that; I of course appreciate all the work and staff putting all of that information together and all of the work that they do leading up to this.

DEPARTMENT OF TRANSPORTATION  
COMMISSION ORDER

Division/Bureau/Office Information Technology Division Order No. IT-2017-54  
Submitted by Annette Dunn Phone No. 515-239-1284 Meeting Date March 14, 2017  
Title Administrative Rule: 761 IAC 4, Public Records and Fair Information Practices

**DISCUSSION/BACKGROUND:**

The proposed amendments 761 IAC 4, Public Records and Fair Information Practices include:

1. Change Citizens' Aide to Ombudsman to reflect current Iowa Code language.
2. Add a new subrule concerning the confidentiality of the Department's records relating to security, emergency preparedness or disaster recovery. This new subrule is needed to comply with Iowa Code paragraph 22.7(50) "b."

The public comment period ended February 21, 2017, the department did not receive any comments or requests for oral presentations were received.

**PROPOSAL/ACTION RECOMMENDATION:**

It is recommended the Commission approve the attached rule amendments.

**COMMISSION ACTION:**

Moved by John Putney Seconded by Kathleen Fehrman

\_\_\_\_\_  
Division Director                      Legal                      State Director

	Aye	Vote Nay	Pass
Boswell	x	_____	_____
Fehrman	x	_____	_____
Huber	x	_____	_____
Miles	x	_____	_____
Putney	x	_____	_____
Rose	x	_____	_____
Yanney	x	_____	_____

The following amendments are proposed.

ITEM 1. Amend paragraph **4.4(2)“b”** as follows:

*b.* To the ~~citizens' aide~~ ombudsman pursuant to Iowa Code section 2C.9.

ITEM 2. Renumber subrule **4.9(23)** as **4.9(24)**.

ITEM 3. Adopt the following **new** subrule 4.9(23):

**4.9(23)** Records which contain information concerning security procedures, emergency preparedness, or disaster recovery related to the protection of property, facilities, and transportation infrastructure controlled, occupied, or owned by the department; employees of the department; visitors to the department's facilities or offices; other persons on premises controlled or owned by the department; or information concerning security procedures, emergency preparedness, or disaster recovery related to persons or property owned by or under the control of another governmental agency or private entity if that information was obtained by the department in relation to planning for emergencies or developing security procedures. Records under this subrule include, but are not limited to, all that contain information relating to vulnerability or risk assessments; security measures, such as security and response plans, security codes and combinations, passwords, restricted area passes, keys, and security or response procedures; emergency response protocols; and information that, if disclosed, could significantly increase the vulnerability to attack of critical physical systems, infrastructures, or transportation critical assets, including information technology networks and systems. (Iowa Code section 22.7(50))

IT-2017-54

Annette Dunn, Information Technology Division Director; As I presented this morning, I have a proposed amendment to Chapter 4, Public Records and Fair Information Practices. These changes are consistent with the Iowa Code and that is why we are recommended changes.

The first change is to change Citizens' Aide to Ombudsman to reflect current Iowa Code language. The second one is to add a new subrule concerning the confidentiality of the Department's records relating to security, emergency preparedness or disaster recovery. Both of those are allowing us to comply with the Iowa Code.

The department did not receive any comments or requests for information oral presentations.

It is recommended the Commission, approve the rule changes that were presented.

Commissioner Putney made a motion to approve the rule amendments. Commissioner Fehrman seconded the motion. The motion passed unanimously.

DEPARTMENT OF TRANSPORTATION  
COMMISSION ORDER

Division/Bureau/Office Office of Driver Services, MVD Order No. MV-2017-55  
Submitted by Melissa Spiegel Phone No. 515-237-3010 Meeting Date March 14, 2017  
Title Administrative Rule – 761 IAC Chapter 615.37 – Service of notice

**DISCUSSION/BACKGROUND:**

The proposed amendments update administrative rules regarding the procedure for verifying the fact that a departmental notice was mailed. Currently, the rules allow the department to prepare an affidavit of mailing verifying that a notice was mailed. The proposed amendments alter the language slightly to provide that the department may certify in accordance with Iowa Code section 622.1, under penalty of perjury, that the notice was mailed.

The proposed amendments reflect a process change implemented to streamline the process of documenting when the department mailed a notice. The proposed amendments reflect this procedural change and allow the department to develop a process for its mail unit to regularly check, confirm, and certify under penalty of perjury that the mailing was properly sent. DOT notices are batched to and printed by our vendor, Mail Services, and are then delivered to the U.S. Post Office. The post office certifies back to us when a notice has been mailed. Once we receive this certification back from the post office, our mailroom supervisor reviews the files to confirm all notices were properly sent and prepares an affidavit of mailing. County attorneys and other court officials often request proof that an official departmental notice was properly mailed and this process will expedite the department's ability to provide this proof as well as enhance the reliability of the process.

The proposed amendment also strikes subrule 615.37(5), which relates to the failure to provide proper notice, in its entirety because it is unnecessary. Iowa Code section 321.211A already clearly defines what must occur if the department determines it failed to send a notice.

The amendments update 761 - 615.37, Service of notice.

The public comment period ended on February 21 and we did not receive any comments or requests for oral presentations.

**PROPOSAL/ACTION RECOMMENDATION:**

It is recommended the Commission approve the attached rule amendments.

**COMMISSION ACTION:**

Moved by Leonard Boswell Seconded by David Rose

\_\_\_\_\_  
Division Director                      Legal                      State Director

	Aye	Vote Nay	Pass
Boswell	x	_____	_____
Fehrman	x	_____	_____
Huber	x	_____	_____
Miles	x	_____	_____
Putney	x	_____	_____
Rose	x	_____	_____
Yanney	x	_____	_____



Amend rule 761—615.37(321) as follows:

**761—615.37(321) Service of notice.**

**615.37(1) to 615.37(3)** No change.

**615.37(4)** The department may prepare an affidavit of mailing verifying the fact that a notice was mailed by first-class mail. To verify the mailing of a notice, the department may use its records in conjunction with U.S. Postal Service records available to the department. The department's affidavit of mailing may be attested to and certified in accordance with Iowa Code section 622.1.

~~**615.37(5)** The department shall prepare an affidavit of mailing if the department determines, under Iowa Code section 321.211A, that it failed to serve a notice of suspension or revocation. The department shall send the affidavit to the court that rendered the conviction.~~

This rule is intended to implement Iowa Code sections 321.16, 321.211, 321.211A, 321.556, 321J.9, 321J.12, and 331.655.

MV-2017-55

Mark Lowe, Iowa DOT Interim Director said Melissa Spiegel our Motor Vehicle Interim Division Director and Driver's Services Director explained this package to you this morning. In summary, this involves the certification process we have for certifying proof of mailing and aligns so the person, with knowledge of the process, is properly certifying that the proper mailing procedures are followed. The reason that is necessary is when we have people that are suspended or revoked and they sign for that we sometimes need to prove in court that they, in fact, received the notice that they were supposed to receive and that mailing was accomplished properly.

The change was made with recommendation of the Attorney General's office as well as prosecuting attorneys. It was out for public comment with no comments received.

It is recommended the Commission, approve the rule changes that were written.

Commissioner Boswell made a motion to approve the rule amendments. Commissioner Rose seconded the motion. The motion passed unanimously.

DEPARTMENT OF TRANSPORTATION  
COMMISSION ORDER

Division/Bureau/Office Planning, Programming and Modal Division  
Office of Systems Planning Order No. PPM-2017-56  
 Submitted by Craig Markley Phone No. 515-239-1027 Meeting Date March 14, 2017  
 Title Revitalize Iowa's Sound Economy (RISE) Application - Davenport

**DISCUSSION/BACKGROUND:**

The city of Davenport submitted a RISE Immediate Opportunity application requesting a grant to assist in construction of turn lanes on Slopertown Road and on Division Street, intersection improvements on Slopertown Road and Division Street, on Northwest Boulevard and Hillandale Road, and on Hillandale Road and Slopertown Road located on the northwest side of town. The requested improvements of turn lanes on Slopertown Road and intersection improvements on Slopertown Road and Division Street are necessary to provide improved access to the proposed Sterilite Corporation, a plastic housewares manufacturer. The remaining improvements provide improved access to more than 130 acres for future industrial development at the Eastern Iowa Industrial Center, which is an Iowa Economic Development Authority certified site location. Therefore, the application was evaluated as both a RISE Immediate Opportunity and an Iowa's Certified Site Program RISE Local Development application. This project is anticipated to be completed by November 2018.

The roadway improvements necessary to serve the Sterilite Corporation will support:

- The creation of 100 full time jobs.
- \$74,380,238 in associated capital investment.

The total cost of this portion of the project is \$1,661,113 and a RISE grant of \$1.2 million is recommended. This results in a RISE cost per job assisted of \$12,000, and a total capital investment of \$61.98 for each RISE dollar recommended.

The remaining roadway improvements are necessary to provide improved access to the Eastern Iowa Industrial Center certified site of more than 130 acres for future industrial development to the west and southwest of the proposed Sterilite Corporation. The total cost of these improvements are \$2,297,813 and a RISE grant of \$1,378,688 is recommended.

**PROPOSAL/ACTION RECOMMENDATION:**

It is recommended the Commission, based on the capital investment commitment and potential for job creation, consider the project as both a RISE Immediate Opportunity and a RISE Local Development and award a RISE grant of \$1,874,533 from the city share of the RISE Fund and \$704,155 from the county share of the RISE Fund for a total of \$2,578,688 or up to 65 percent of the total RISE-eligible project cost, whichever is less. Since a portion of this is a certified site project, the actual award amount is subject to change as the project plans are finalized. Any changes to the scope or approved funding will be submitted by the department to the Commission for consideration as a modification to the award.

**COMMISSION ACTION:**

Moved by John Putney Seconded by Leonard Boswell

	Aye	Vote Nay	Pass
Boswell	x	_____	_____
Fehrman	x	_____	_____
Huber	x	_____	_____
Miles	x	_____	_____
Putney	x	_____	_____
Rose	x	_____	_____
Yanney	x	_____	_____

\_\_\_\_\_  
Division Director                      Legal                      State Director

**RISE Immediate Opportunity Funding  
Economic Analysis Summary**

**GENERAL INFORMATION**

**Applicant:** Davenport

**Project Location and Description:** Roadway improvements of turn lanes on Slopertown Road and intersection improvements on Slopertown Road and Division Street located on the northwest side of town.

**Associated Economic Development:** The project provides improved access to Sterilite Corporation, a plastic housewares manufacturer.

**ECONOMIC IMPACT**

**Total Roadway Project Cost:** \$1,661,113

**RISE Funds Requested:** \$1,200,000; **Grant:** \$1,200,000; **Loan \$----**

**Effective Project Cost to RISE Program:** \$1,200,000

**Local Participation:** \$461,113; **Sources:** Davenport

**Non-RISE Total Capital Investment:** \$74,380,238

(**Public:** \$1,380,238; **Private:** \$73,000,000)

**Direct Jobs Created:** 100; (**Other, Potential Future Jobs:** 400)

**Direct Jobs Retained:** 0; **Total Direct Jobs Assisted, Short-Term:** 400

**Number of Existing Jobs:** 0

**Project Average Wage Rate of New Jobs:** \$18.75/hr.

**100% Average Laborshed Wage Rate:** \$18.74/hr.

**KEY RATIOS**

**Local Match Ratio:** 28% ( $\$461,113/\$1,661,113$ )

**RISE Cost Per Job Assisted (Created):**  $\$1,200,000/100 = \$12,000$

**Total Capital Investment Per RISE Dollar:**  $\$74,380,238/\$1,200,000 = \$61.98$

**CONCLUSIONS**

**Narrative:** It is recommended the Commission, based on the capital investment and job creation commitments; award a RISE grant of \$673,736 from the city share of the RISE Fund and \$526,264 from the county share of the RISE Fund for a total of \$1,200,000 or up to 80 percent of the total RISE-eligible project cost, whichever is less.

RISE LOCAL DEVELOPMENT FUNDING  
September 2017  
SUMMARY

Applicant: City of Davenport

Multiyear?: No  
Multijurisdiction?: Yes

**ROAD PROJECT LOCATION AND DESCRIPTION:** Roadway improvements of turn lanes on Division Street, intersection improvements on Northwest Boulevard and Hillandale Road and on Hillandale Road and Slopertown Road located on the northwest side of town.

**ASSOCIATED ECONOMIC DEVELOPMENT:** This project is necessary to provide improved access to the Eastern Iowa Industrial Center, an Iowa Economic Development Authority certified site of more than 130 acres for future industrial purposes.

**PROJECT FINANCING:**

Roadway Project Cost:	\$2,297,813	Local Match (Total):	\$919,125
RISE (Total):	\$1,378,688	Up-Front:	\$919,125
Grant:	\$1,378,688	NPV of Loan Repayment:	0
Loan:	0	Effective Match Percent:	40
Loan Terms:	Yrs. 0		
	Int.: 0 %	Up-front Participation Sources:	
		Private:	
		Public:	\$919,125
		Local Match (Total):	\$919,125

**PROJECT EVALUATION:**

Development Potential (35):	35
Economic Impact and Cost Effect. (20):	16
Local Commit. and Initiative (35):	16
Transportation Need (4):	3
Local Economic Need (6):	4

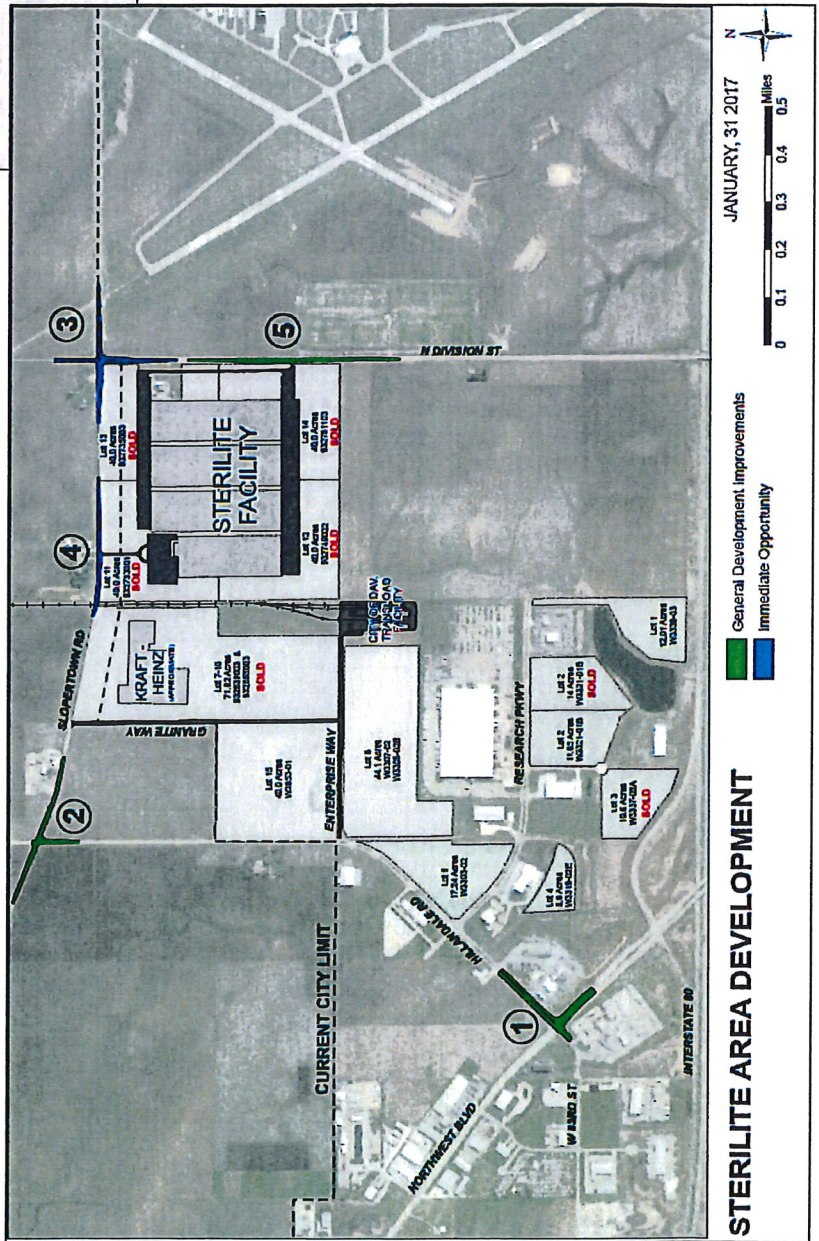
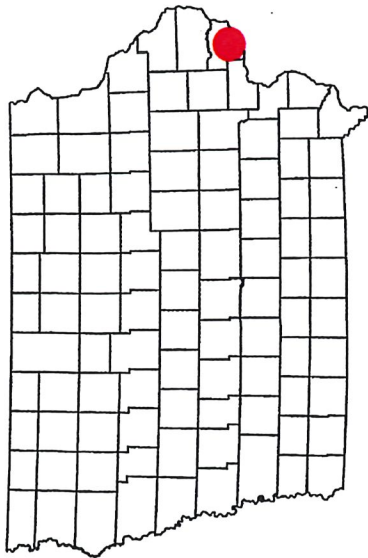
Total Rating: 74

Jobs: 500

**STAFF RECOMMENDATION:**

Award a grant of \$1,200,796 from the city share of the RISE Fund and \$177,892 from the county share of the RISE Fund, for a total of \$1,378,688 or up to 60 percent of the RISE-eligible project cost, whichever is less.

# Davenport



PPM-2017-56

Craig Markley, Office of Systems Planning; We have four RISE projects for your review today. First we have an application from the city of Davenport. There is a delegation present that I will introduce following my presentation of background information.

The city of Davenport submitted a RISE Immediate Opportunity application requesting a grant to assist in construction of turn lanes on Slopertown Road and on Division Street, intersection improvements on Slopertown Road and Division Street, on Northwest Boulevard and Hillandale Road, and on Hillandale Road and Slopertown Road located on the northwest side of town. The requested improvements of turn lanes on Slopertown Road and intersection improvements on Slopertown Road and Division Street are necessary to provide improved access to the proposed Sterilite Corporation, a plastic housewares manufacturer. The remaining improvements provide improved access to more than 130 acres for future industrial development at the Eastern Iowa Industrial Center which is an Iowa Economic Development Authority certified site location. Therefore, the application was evaluated as both a RISE Immediate Opportunity and an Iowa's Certified Site Program RISE Local Development application.

The Immediate Opportunity RISE-eligible roadway total cost for the proposed site of Sterilite Corporation is \$1,661,113 with a recommended RISE grant of \$1,200,000. This will result in the creation of 100 full time jobs along with associated capital investment of more than \$74 million.

Average wage of created positions is \$18.75 which is at 100 percent of the Davenport laborshed average wage rate of \$18.74 per hour.

The RISE cost per job assisted is \$12,000 (RISE avg. is \$3,500 - range is \$1,000 to \$12,000)

Total Capital Investment per RISE dollar is \$61.98 (RISE avg. \$100 - range is \$5-\$800)

The remaining roadway improvements providing improved access to the Eastern Iowa Industrial Center certified site to the west and southwest of the proposed Sterilite Corporation have a total cost of \$2,297,813 with a requested RISE grant of \$1,378,688. Since this is a certified site project, the actual award amount is subject to change as the project plans are finalized. Any changes to the scope or approved funding will be submitted by the department to the Commission for consideration as a modification to the award.

Before I proceed to staff's recommendation, I would like to invite Clay Merritt, Capital Manager, to offer some comments.

Clay Merritt introduced Alderman Jason Gordon who is one of their at-large aldermen with the city of Davenport, he is also the chair of our finance committee; he said thank you for considering this application, as well as funding a program like RISE. We have been very fortunate in the city of Davenport the last couple of years to have a large number of very large developments happen. Being able to have a partner like the DOT is incredibly helpful.

It is recommended the Commission, based on the capital investment commitment and potential for job creation, consider the project as both a RISE Immediate Opportunity and a RISE Local Development and award a RISE grant of \$1,874,533 from the city share of the RISE Fund and \$704,155 from the county share of the RISE Fund for a total of \$2,578,688 or up to 65 percent of the total RISE-eligible project cost, whichever is less.

Commissioner Putney made a motion to approve this RISE grant for the city of Davenport. Commissioner Boswell seconded the motion. The motion passed unanimously.



DEPARTMENT OF TRANSPORTATION  
COMMISSION ORDER

Division/Bureau/Office Planning, Programming and Modal Division  
Office of Systems Planning Order No. PPM-2017-57  
 Submitted by Craig Markley Phone No. 515-239-1027 Meeting Date March 14, 2017  
 Title Revitalize Iowa's Sound Economy (RISE) Application – Rock Valley

**DISCUSSION/BACKGROUND:**

The city of Rock Valley submitted a RISE Local Development application in the February 2017 round requesting a grant to assist in construction of approximately 2,100 feet of Rock Ridge Drive located on the east side of town.

This project is necessary to provide access to 15 lots totaling more than 29 acres for manufacturing, warehouse, and professional office purposes. This project is anticipated to be completed by November 2017.

The evaluation and rating for the project will be discussed.

**PROPOSAL/ACTION RECOMMENDATION:**

It is recommended the Commission, based on the capital investment commitment and potential for future job creation, award a RISE grant of \$364,059 or up to 50 percent of the total RISE-eligible project cost, whichever is less, from the city share of the RISE Fund.

**COMMISSION ACTION:**

Moved by Charese Yanney Seconded by Kathleen Fehrman

	Aye	Vote Nay	Pass
Boswell	<u>x</u>	_____	_____
Fehrman	<u>x</u>	_____	_____
Huber	<u>x</u>	_____	_____
Miles	<u>x</u>	_____	_____
Putney	<u>x</u>	_____	_____
Rose	<u>x</u>	_____	_____
Yanney	<u>x</u>	_____	_____

\_\_\_\_\_  
Division Director                      Legal                      State Director

RISE LOCAL DEVELOPMENT FUNDING  
February 2017  
SUMMARY

Applicant: Rock Valley

Multiyear?: No  
Multijurisdiction?: No

ROAD PROJECT LOCATION AND DESCRIPTION: To construct approximately 2,100 feet of Rock Ridge Drive located on the east side of town.

ASSOCIATED ECONOMIC DEVELOPMENT: This project is necessary to provide access to 15 lots totaling more than 29 acres for manufacturing, warehouse and professional office purposes.

PROJECT FINANCING:

Roadway Project Cost:	\$728,118	Local Match (Total):	\$364,059
RISE (Total):	\$364,059	Up-Front:	\$364,059
Grant:	\$364,059	NPV of Loan Repayment:	0
Loan:	0	Effective Match Percent:	50
Loan Terms: Yrs.	0	Up-front Participation Sources:	
Int.:	0 %	Private:	
		Public:	\$364,059
		Local Match (Total):	\$364,059

PROJECT EVALUATION:

Development Potential (35):	20
Economic Impact and Cost Effect. (20):	2
Local Commit. and Initiative (35):	15
Transportation Need (4):	3
Local Economic Need (6):	2

Total Rating: 42

Jobs: 0

STAFF RECOMMENDATION:

Award a grant of \$364,059 or up to 50 percent of the RISE-eligible project cost, whichever is less. Funding will come from the city share of the RISE Fund.



PPM-2017-57

Craig Markley, Office of Systems Planning; Next is a RISE project from Rock Valley for your review. There is a delegation participating by tele-conference available if you have any questions.

The city submitted a Local Development RISE funding request to assist in construction of approximately 2,100 feet of Rock Ridge Drive located on the east side of town.

This project is necessary to provide access to 15 lots totaling more than 29 acres for manufacturing, warehouse and professional office purposes.

Under our RISE Local Development criteria, this project received a rating of 42 points. The total estimated cost of the project is \$728,118. The city of Rock Valley is requesting a RISE grant of \$364,059 and will be providing 50 percent in local match.

It is recommended the Commission, based on the capital investment commitment and potential for future job creation, award a RISE grant of \$364,059 or up to 50 percent of the total RISE-eligible project cost, whichever is less. Funding will come from the city share of the RISE Fund.

Commissioner Yanney made a motion to approve this RISE grant for the city of Rock Valley. Commissioner Fehrman seconded the motion. The motion passed unanimously.

DEPARTMENT OF TRANSPORTATION  
COMMISSION ORDER

Division/Bureau/Office Planning, Programming and Modal Division  
Office of Systems Planning Order No. PPM-2017-58  
 Submitted by Craig Markley Phone No. 515-239-1027 Meeting Date March 14, 2017  
 Title Revitalize Iowa's Sound Economy (RISE) Application – Cedar Rapids

**DISCUSSION/BACKGROUND:**

The city of Cedar Rapids submitted an Iowa's Certified Site Program RISE Local Development application in the February 2017 round requesting a grant to assist in construction of approximately 4,800 feet of new roadway with a roundabout in the certified site area located on the southwest side of town.

This project is necessary to provide access to the Cedar Rapids Land and Air Super Park, an Iowa Economic Development Authority certified site of more than 539 acres. The certification for this site expires July 13, 2020.

The evaluation and rating for the project will be discussed.

**PROPOSAL/ACTION RECOMMENDATION:**

It is recommended the Commission, based on the capital investment commitment and potential for future job creation, award a RISE grant from the city share of the RISE Fund. The estimated total RISE-eligible project cost is \$4,151,281 and the estimated RISE grant is \$2,698,333 or up to 65 percent of the total RISE-eligible project cost, whichever is less. Since this is a certified site project, the actual award amount is subject to change as the project plans are finalized. Any changes to the scope or approved funding will be submitted by the department to the Commission for consideration as a modification to the award.

**COMMISSION ACTION:**

Moved by Loree Miles Seconded by David Rose

	Aye	Vote Nay	Pass
Boswell	x	_____	_____
Fehrman	x	_____	_____
Huber	x	_____	_____
Miles	x	_____	_____
Putney	x	_____	_____
Rose	x	_____	_____
Yanney	x	_____	_____

\_\_\_\_\_  
Division Director                      Legal                      State Director

RISE LOCAL DEVELOPMENT FUNDING  
February 2017  
SUMMARY

Applicant: City of Cedar Rapids

Multiyear?: No  
Multijurisdiction?: No

**ROAD PROJECT LOCATION AND DESCRIPTION:** To construct approximately 4,800 feet of new roadway with a roundabout in the certified site area located on the southwest side of town.

**ASSOCIATED ECONOMIC DEVELOPMENT:** This project is necessary to provide access to the Cedar Rapids Land and Air Super Park, an Iowa Economic Development Authority certified site of more than 539 acres

**PROJECT FINANCING:**

Roadway Project Cost:	\$4,151,281	Local Match (Total):	\$1,452,948
RISE (Total):	\$2,698,333	Up-Front:	\$1,452,948
Grant:	\$2,698,333	NPV of Loan Repayment:	0
Loan:	0	Effective Match Percent:	35
Loan Terms:	Yrs. 0		
	Int.: 0 %	Up-front Participation Sources:	
		Private:	
		Public:	\$1,452,948
		Local Match (Total):	\$1,452,948

**PROJECT EVALUATION:**

Development Potential (35):	25
Economic Impact and Cost Effect. (20):	2
Local Commit. and Initiative (35):	16
Transportation Need (4):	3
Local Economic Need (6):	3

Total Rating: 49

Jobs: 0

**STAFF RECOMMENDATION:**

Award a grant of \$2,698,333 or up to 65 percent of the RISE-eligible project cost, whichever is less. Funding will come from the city share of the RISE Fund.



PPM-2017-58

Craig Markley, Office of Systems Planning; Next is a RISE project from Cedar Rapids for your review.

The city submitted an Iowa's Certified Site Program Local Development RISE funding request to assist in construction of approximately 4,800 feet of new roadway with a roundabout in the certified site area located on the southwest side of town.

This project is necessary to provide access to the Cedar Rapids Land and Air Super Park, an Iowa Economic Development Authority certified site of more than 539 acres. The certification for this site expires July 13, 2020.

Under our RISE Local Development criteria, this project received a rating of 49 points. The total estimated cost of the project is \$4,151,281. The city of Cedar Rapids is requesting a RISE grant of \$2,698,333 and will be providing 35 percent in local match. Since this is a certified site project, the actual award amount is subject to change as the project plans are finalized. Any changes to the scope or approved funding will be submitted by the department to the Commission for consideration as a modification to the award.

It is recommended the Commission, based on the capital investment commitment and potential for future job creation, award a RISE grant of \$2,698,333 or up to 65 percent of the total RISE eligible project cost, whichever is less. Funding will come from the city share of the RISE Fund.

Commissioner Miles made a motion to approve this RISE grant for the city of Cedar Rapids. Commissioner Rose seconded the motion. The motion passed unanimously.



DEPARTMENT OF TRANSPORTATION  
COMMISSION ORDER

Division/Bureau/Office Planning, Programming and Modal Division  
Office of Systems Planning Order No. PPM-2017-59  
 Submitted by Craig Markley Phone No. 515-239-1027 Meeting Date March 14, 2017  
 Title Revitalize Iowa's Sound Economy (RISE) Project Settlement – Burlington

**DISCUSSION/BACKGROUND:**

On August 14, 2012, the city of Burlington was awarded a RISE grant of \$923,000 to assist with the reconstruction of approximately 2,323 feet of Bluff Road and construction of a right-turn lane on Des Moines Avenue located on the northeast side of town. The proposed improvements were necessary to provide improved access to the expansion site of the CNH manufacturing facility that produces Case and New Holland brands of construction and agricultural equipment.

Commission approval of this project was contingent on the creation of 118 new jobs within three years after completion of the roadway. Associated capital investment was to be \$16,002,628.

On November 1, 2013, the roadway was opened to traffic. As of November 2016, the city of Burlington had not met the job creation contingency. The department was advised that an average of 53 total jobs were created.

The method of calculation and amount of proposed settlement was provided to the city. The city of Burlington has agreed to repay the proposed settlement of \$155,989.

**PROPOSAL/ACTION RECOMMENDATION:**

It is recommended the Commission approve the project settlement and proposed payment to the city share of the RISE fund of \$155,989 for the city of Burlington.

**COMMISSION ACTION:**

Moved by David Rose Seconded by John Putney

\_\_\_\_\_  
Division Director                      Legal                      State Director

	Aye	Vote Nay	Pass
Boswell	x	_____	_____
Fehrman	x	_____	_____
Huber	x	_____	_____
Miles	x	_____	_____
Putney	x	_____	_____
Rose	x	_____	_____
Yanney	x	_____	_____

### RISE Project Completion Settlement for:

RECIPIENT : **City of Burlington**  
 PROJECT # : **RM-0977(639)--9D-29**  
 AGREEMENT #: **2013-R-003**

Reimbursable Maximum : \$923,000

To bring this project agreement to a close, in accordance with the procedure adopted by the Iowa Department of Transportation Commission (Commission), the Recipient (as identified above) is required to repay a portion of the RISE grant funds received.

The amount to be repaid is calculated in the following manner, according to the method approved by the Commission, all amounts are rounded to the nearest whole dollar or percentage point.

**Step 1** Determine the difference between the RISE grant funds actually reimbursed and 50% of the total eligible costs. The resulting amount is referred to as the "RISE differential"

	Actual	
a.	RISE grant funds reimbursed:	756,308.20
b.	FINAL eligible project costs:	945,385.25
c.	50% of FINAL eligible costs:	472,692.63
d.	"RISE differential" ( a ) - ( c ) =	283,615.58

\$283,616 Rounded

**Step 2** Determine average number of jobs.

a.	FTE Jobs at beginning of 6 months:	640
b.	+ FTE Jobs at end of 6 months:	773
	Average number of jobs [(a+b)/2]	707

**Step 3** Determine the amount of the contingency unfulfilled.

53 new jobs were created by CNH Manufacturing.  
 The funding contingency required that 118 FTE jobs be created.

a.	Existing Jobs at time of Commission:	654
b.	Contingency total # of jobs created:	118
c.	Actual total # of jobs created:	53
d.	Contingency unfulfilled (enter contingent if negative):	65

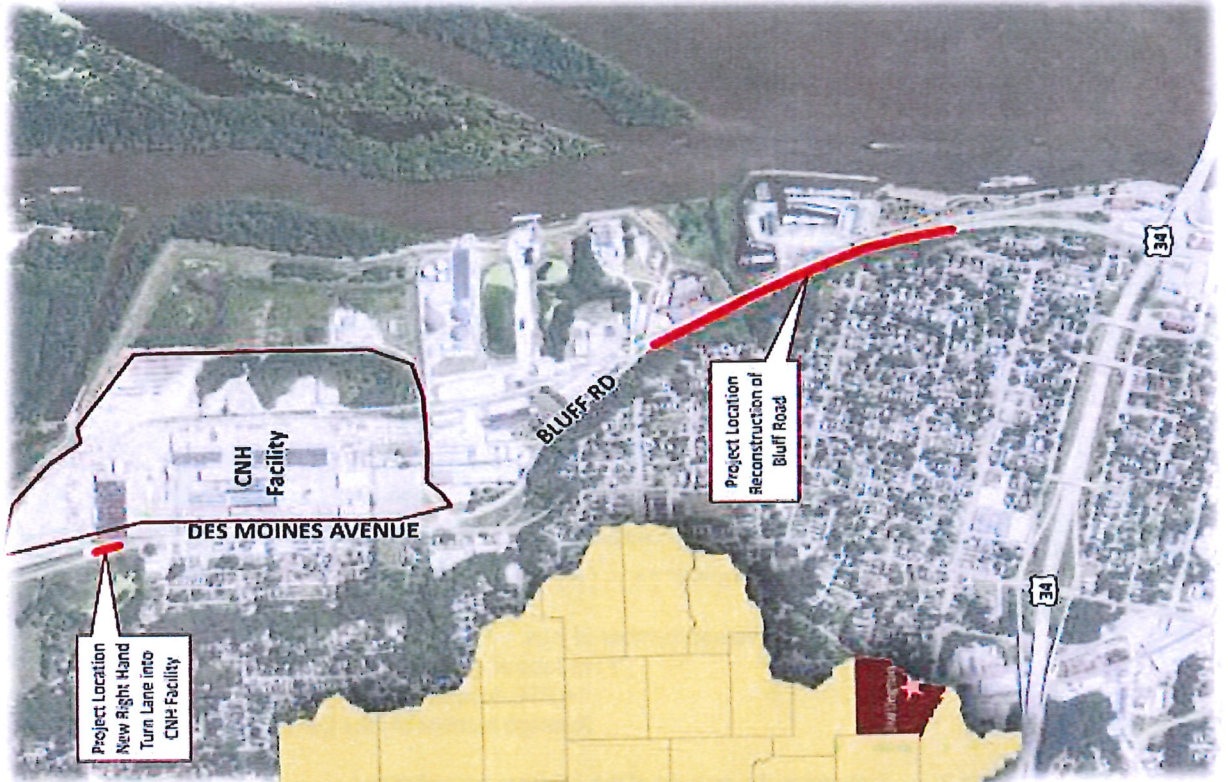
Determine percentage = (d/b) 55.08%

Therefore the percentage of contingency still unfulfilled is: 55% Rounded

**Step 4** Determine the prorated amount by multiplying the RISE differential amount by the percentage of the contingency still unfulfilled.

Prorated amount = (Step 1.d)	X	(Step 3 %)	= Prorated Amount
\$283,616	X	55%	<span style="border: 1px solid black; padding: 2px;">\$155,989</span>
			Rounded

# Burlington

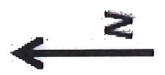


Project Location  
New Right Hand  
Turn Lane into  
CNH Facility

DES MOINES AVENUE

BLUFF RD

Project Location  
Reconstruction of  
Bluff Road



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Craig Markley, Office of Systems Planning; Last, for RISE Immediate Opportunity projects there are job creation commitments associated with receiving the funds. The Department monitors these contingencies to assure that they are met within three years of the roadway being open to traffic. If the contingencies are not met, the Department will seek partial or full revocation of the grant.

Today we are presenting a proposed settlement with Burlington for a RISE project awarded in August of 2012. The city received a grant of \$923,000 for roadway improvements contingent on the creation of 118 new jobs by CNH manufacturing within three years of project completion. In November of 2013 the roadway was opened to traffic. However, the company associated with this project was only able to create an average of 53 jobs. Based on the settlement policy the city has agreed to a repayment of \$155,989.

Staff recommends the Commission approve the proposed settlement for the Burlington RISE project.

Commissioner Rose made a motion to approve this RISE settlement for the city of Burlington. Commissioner Putney seconded the motion. The motion passed unanimously.

DEPARTMENT OF TRANSPORTATION  
COMMISSION ORDER

Division/Bureau/Office Planning, Programming and Modal Division  
Office of Systems Planning Order No. PPM-2017-60  
 Submitted by Craig Markley Phone No. 515-239-1027 Meeting Date March 14, 2017  
 Title Statewide Transportation Alternatives Program Funding Recommendations

**DISCUSSION/BACKGROUND:**

Review of the current round of applications for funding from the statewide Transportation Alternatives program has been completed. The following funding recommendations will be presented.

UNI Integrated Roadside Vegetation Management (University of Northern Iowa and UNI Integrated Roadside Vegetation Management)	\$ 423,035
Urban Youth Corps (Iowa Department of Transportation)	\$ 80,000
 Total	 \$ 503,035

**PROPOSAL/ACTION RECOMMENDATION:**

It is recommended the Commission approve the statewide Transportation Alternatives program funding recommendations as listed.

<b>COMMISSION ACTION:</b>			Vote	
			Aye	Nay
			x	_____
Moved by <u>David Rose</u>	Seconded by <u>Leonard Boswell</u>	Boswell	x	_____
		Fehrman	x	_____
		Huber	x	_____
		Miles	x	_____
		Putney	x	_____
		Rose	x	_____
		Yanney	x	_____
_____ Division Director	_____ Legal	_____ State Director		

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Craig Markley, Office of Systems Planning; The Transportation Alternatives Program was first authorized by the federal transportation funding act, Moving Ahead for Progress in the 21st Century and replaces the former Transportation Enhancements, Safe Routes to School and several other discretionary programs such as the National Scenic Byway program. This funding supports community based projects that expand travel choices and enhance the transportation experience by supporting bicycle/pedestrian, cultural, historic, aesthetic, and environmental projects related to surface transportation infrastructure.

By Transportation Commission decision, the Department has reserved \$1 million for statewide projects with the remainder of the funding reserved for local projects in consultation with Iowa's 9 Metropolitan Planning Organizations and 18 Regional Planning Affiliations through a regional project selection process administered by the Department.

In this round we received 5 applications requesting over \$1.5 million in funding. Last month we reviewed with you our recommendation to fund 2 projects with \$503,035. We recommend you approve the Statewide Transportation Alternatives program funding recommendation as listed on the Commission order.

Commissioner Rose made a motion to approve the Statewide Transportation Alternatives program funding recommendation as listed on the Commission order. Commissioner Boswell seconded the motion. The motion passed unanimously.

**DEPARTMENT OF TRANSPORTATION  
COMMISSION ORDER**

Planning, Programming and Modal Division

Division/Bureau/Office Office of Systems Planning Order No. PPM-2017-61

Submitted by Craig Markley Phone No. 515-239-1027 Meeting Date March 14, 2017

Title Federal Recreational Trails Program Funding Recommendations

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**DISCUSSION/BACKGROUND:**

Review of the current round of applications for funding from the federal Recreational Trails Program has been completed. The following funding recommendations will be presented.

DNR Trails Program (Iowa Department of Natural Resources)	\$ 228,316
Iowa DNR Off-Highway Vehicle Program (Iowa Department of Natural Resources and Iowa Off-Highway Vehicle Association)	\$ 234,099
Iowa River Trail Bridge Rehabilitation over part of Hardin County (Hardin County)	\$ 247,000
Maquoketa River Water Trail-Mon/Maq Dam Modification (Jones County Conservation Board)	\$ 369,820
Snowmobile Trail Grooming Equipment Purchase (Iowa Department of Natural Resources and Iowa State Snowmobile Association)	\$ 240,000
Support for Program and Bicycle/Trails Summits (Iowa Department of Transportation)	\$ 13,594
Total	\$1,332,829

**PROPOSAL/ACTION RECOMMENDATION:**

It is recommended the Commission approve the federal Recreational Trails Program funding recommendations as listed.

**COMMISSION ACTION:**

Moved by Charese Yanney Seconded by Loree Miles

	Aye x	Vote Nay	Pass
Boswell	x		
Fehrman	x		
Huber	x		
Miles	x		
Putney	x		
Rose	x		
Yanney	x		

\_\_\_\_\_  
Division Director                      \_\_\_\_\_ Legal                      \_\_\_\_\_ State Director

PPM-2017-61

Craig Markley, Office of Systems Planning; The Federal Recreational Trails program was created under ISTEA legislation in 1991 and provides funding to develop and maintain recreational trails and trail-related facilities for both non-motorized and motorized users. Examples include, hiking, bicycling, water trails, equestrian, cross-country skiing, snowmobiling, all-terrain vehicles, and many others.

We received 17 applications requesting over \$6 million in funding. Last month, we shared with you our recommendation to fund 6 projects with \$1,332,829. We recommend you approve the Federal Recreational Trails program recommendations as listed on the Commission Order.

Commissioner Yanney made a motion to approve the Federal Recreational Trails program recommendations as listed on the Commission Order. Commissioner Miles seconded the motion. The motion passed unanimously.



DEPARTMENT OF TRANSPORTATION  
COMMISSION ORDER

Division/Bureau/Office Planning, Programming and Modal Division  
Office of Systems Planning Order No. PPM-2017-62  
Submitted by Deb Arp Phone No. 515-239-1681 Meeting Date March 14, 2017  
Title Iowa's Clean Air Attainment Program Funding Recommendations

**DISCUSSION/BACKGROUND:**

Review of the current round of applications for funding from Iowa's Clean Air Attainment Program has been completed. The following funding recommendations will be presented.

#2 Green, #6 Brown, #3 Blue Service Expansion (Ames Transit Agency)	\$ 101,767
#9 Plum Weekday Route Expansion (Ames Transit Agency)	\$ 230,466
5th Avenue South Signal Interconnect Project (Fort Dodge)	\$ 245,000
Ankeny Traffic Signal System Timings Update (Ankeny)	\$ 116,800
Ansborough Avenue Improvements (Waterloo)	\$ 277,600
CorridorRides Van Pool: Year 2 (East Central Iowa Council of Governments)	\$ 166,240
Iowa 163 Adaptive Traffic Signal Control System (Pleasant Hill)	\$ 576,800
Jordan Creek Town Center Area Traffic Network Adaptive Traffic Signal Control (West Des Moines)	\$ 288,000
Roundabout at University Avenue and Grandview Avenue (Dubuque)	\$ 264,842
South 5th Street Construction Project (Ames)	\$ 396,485
Traffic Signal System Timings Update, Phase 2 (Des Moines)	\$ 136,000
U.S. 6 and Park Road Single Lane Roundabout (Tiffin)	\$ 500,000
U.S. 6 (Hickman Road) and Alice's Road Intersection Improvements (Waukee)	\$ 700,000
<b>Total</b>	<b>\$4,000,000</b>

**PROPOSAL/ACTION RECOMMENDATION:**

It is recommended the Commission approve Iowa's Clean Air Attainment Program funding recommendations as listed.

**COMMISSION ACTION:**

Moved by Kathleen Fehrman Seconded by Charese Yanney

	Aye	Vote Nay	Pass
Boswell	x	_____	_____
Fehrman	x	_____	_____
Huber	x	_____	_____
Miles	x	_____	_____
Putney	x	_____	_____
Rose	x	_____	_____
Yanney	x	_____	_____

\_\_\_\_\_  
Division Director                      Legal                      State Director

PPM-2017-62

Craig Markley, Office of Systems Planning; Iowa's Clean Air Attainment Program came as a result of the creation of the Federal Congestion Mitigation and Air Quality improvement program in ISTEA legislation. We have \$4 million in CMAQ funding set-aside annually for the ICAAP program.

This is an application based program that awards funds to projects with the highest potential for reducing transportation related congestion and air pollution. Project priorities include reducing vehicle miles of travel and single occupant vehicle travel. Priorities also include improving vehicle traffic flow or other projects that improve air quality or reduce traffic congestion. Projects are also evaluated based on their cost effectiveness.

In this round, we received 15 applications requesting over \$5.5 million in funding. Last month we shared with you our recommendation to fund 13 projects with \$4 million. We recommend you approve Iowa's Clean Air Attainment Program funding recommendation as listed on the Commission order.

Commissioner Fehrman made a motion to approve Iowa's Clean Air Attainment Program funding recommendation as listed on the Commission order. Commissioner Yanney seconded the motion. The motion passed unanimously.

DEPARTMENT OF TRANSPORTATION  
COMMISSION ORDER

Division/Bureau/Office Planning, Programming and Modal Division  
Office of Systems Planning Order No. PPM-2017-63  
 Submitted by Phil Mescher Phone No. 515-239-1629 Meeting Date March 14, 2017  
 Title Commercial and Industrial Network Modifications

**DISCUSSION/BACKGROUND:**

Recent changes to the Iowa highway system require modifications to the Commercial and Industrial Network (CIN) include:

- Moving CIN designation in Sac County because U.S. 71 has been relocated to the east along the former Iowa 196 route between U.S. 20 and Iowa 175.
- Remove CIN designation due to jurisdiction of a portion of U.S. 6 from Interstate 29 to I-80 being transferred to Council Bluffs.
- Remove CIN designation for Martin Luther King Jr. Parkway in Des Moines between I-235 and U.S. 69/Southeast 15th Street because it is not on the Primary Road System and thus not eligible for CIN designation.

**PROPOSAL/ACTION RECOMMENDATION:**

It is recommended the Commission approve these modifications to the CIN.

**COMMISSION ACTION:**

Moved by Charese Yanney Seconded by Loree Miles

	Aye	Vote Nay	Pass
Boswell	<u>x</u>	<u>      </u>	<u>      </u>
Fehrman	<u>x</u>	<u>      </u>	<u>      </u>
Huber	<u>x</u>	<u>      </u>	<u>      </u>
Miles	<u>x</u>	<u>      </u>	<u>      </u>
Putney	<u>x</u>	<u>      </u>	<u>      </u>
Rose	<u>x</u>	<u>      </u>	<u>      </u>
Yanney	<u>x</u>	<u>      </u>	<u>      </u>

\_\_\_\_\_  
Division Director                      \_\_\_\_\_ Legal                      \_\_\_\_\_ State Director

PPM-2017-63

Phil Mescher, Office of Systems Planning; Last month I was here and I presented three recommended changes to our Commercial and Industrial Network. These changes are due to transfer of jurisdiction and changes in the function of highways due to new construction.

The recommended changes are the same as I presented last month and include the following: a request to move CIN designation in Sac County because U.S. 71 has been relocated to the east along the former Iowa 196 route between U.S. 20 and Iowa 175.

The second change is a request to remove CIN designation due to a transfer of jurisdiction of a portion of U.S. 6 from Interstate 29 to I-80 being transferred to Council Bluffs.

Number three is a request to remove CIN designation for Martin Luther King Jr. Parkway in Des Moines between I-235 and U.S. 69/Southeast 15th Street because it is not on the Primary Road System and thus not eligible for CIN designation.

It is recommended the Commission approve the modifications to the CIN.

Commissioner Yanney made a motion to approve the modifications to the CIN as presented. Commissioner Miles seconded the motion. The motion passed unanimously.